Statewide Internal Audit
Follow-up Audit Tracking

Audit # and Title	Final Report Issued Date	Implementation Deadline	Follow-up Status	Follow-up Comments	Recommendation Category	# of Recommendations in Category
Blue shaded rows represent older audits that originated prior to the change over of the audit director (12/2007). These had items open for follow-up as of March 2012						
00-30 Program Change Control		11/4/2002	Not Done	This will be considered for inclusion during planning for 12-35, being mindful that the original audit occurred over 10 years ago.		
					Banner Access	1
					Segregation of Duties	3
					Incomplete Documentation	1
02-50 Software Licensing Compliance		5/15/2003	Not Done	Likely to be a new audit in FY13.		
					Software License Compliance	4
					Microsoft License	2
					Communicating Software Availability	1
04-20 UAF Poker Flats		2/28/2006	Not Done	To be conducted before the end of FY12.		
					Reevaluation of Contracts	1
					Training and Payment Approval	1
					Comparison Prior to Approval	1
					Understanding the Procurement Manual	1
					Monitoring Purchase Orders	1
04-22 Banking Activities		7/1/2004	Not Done	To be conducted before the end of FY12.		
					Adequate Support Documentation	1
					ANSEP	3
					Biology Department	4
					Parking Services	3
					Provost's Office	4
08-20 UAA Follow-up Review	11/24/2008	11/24/2009	n/a	I conducted this review immediately after becoming director. It gave me an opportunity to follow-up on a number of older audits that I had not been involved in previously. Follow-up procedures were conducted on recommendations from 4 prior audits, ranging back to FY00. 6 recommendations remained open as a result, but due to the age of the original audits it would be better to postpone efforts until new audits are conducted.		

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10-20 UAA Facilities				Final report has not been issued; awaiting response from UAA		
10-23 UAF Facilities	1/11/2012	4/30/2012	Not Done	Scheduled to occur within next 6 months		
					Billing Information on Facilities Website	1
10-34 Statewide Travel and Departmental Travel Card	12/7/2011	10/12/2011	Not Done	Scheduled to occur within next 6 months		
					Travel Form Signature Dates	1
					Completion of Travel Authorization Forms	3
					Completion of Travel Expense Reports	2
					Travel Documentation	2
					Statewide Departmental Travel Card	4
11-18 Systemwide Sponsored Programs Effort Reporting				Audit is in progress		
11-21 ANSEP Restricted Funds	12/7/2011	11/30/2012	Not Done	Scheduled to occur within next 12 months		
					Budget and Expenditure Monitoring	9
					Spending Analysis	1
					Scholarships	2
					Property	4
					Subawards and Subrecipient Monitoring	4
					Effort Reporting and Payroll	5

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Audit # and Title	Final Report Issued Date	Implementation Deadline	Follow-up Status	Follow-up Comments	Recommendation Category	# of Recommendations in Category
					Journal Vouchers	3
					Representational/Nonrepresentational Purchases	2
					Written Procedures	1
					Adequate Support Documentation	6
11-23 UAF Northwest Campus Data Security				The UAF formal response was received 2/24/12		
11-27 UAA Kenai Peninsula College Data Security				Draft report undergoing revisions prior to distribution to KPC		
11-29 UAS School of Education Restricted Funds				Preliminary report issued		
12-32 Outsourced IT Service Contracts				Audit is in progress		
12-35 Banner Program Upgrade				Audit planning is in progress		
12-39 Banking Activities				Audit planning is in progress		
12-21 UAA Athletics				Audit planning is in progress		